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NOTICE OF ALLOWANCE AND FEE(S) DUE

23363 7590 03/17/2010 CHRISTIE, PARKER & HALE, LLP PO BOX 7068 PASADENA. CA 91109-7068 EXAMINER
SALIARD, SHANNON S

ART UNIT PAPER NUMBER
3628

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/944,534	08/31/2001	Blake MingQi Dong	47013/PAN/S850	3296	

TITLE OF INVENTION: A METHOD AND APPARATUS FOR THE CONTROL AND DISTRIBUTION OF VALUE BEARING ITEMS IN A PC POSTAGE SYSTEM

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(8) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$0	\$1510	06/17/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION NOT THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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INSTRUCTIONS: This appropriate. All further c indicated unless corrected maintenance fee notification	form should be used to correspondence including d below or directed officers.	or transmitting the Is ng the Patent, advance nerwise in Block 1, b	SSUE FEE and PUI e orders and notifica y (a) specifying a ne					nould be completed where correspondence address a rate "FEE ADDRESS" fo
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
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CHRISTIE, PA PO BOX 7068 PASADENA, CA	RKER & HALE. \ 91109-7068	, LLP		I her State addr trans	salso cartify that thi	e Gente	A Transmittal is bains	deposited with the United t class mail in an envelope above, or being facsimile ate indicated below.
								(Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED IN	VENTOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
09/944,534	08/31/2001	- 1	Blake MingQi	Dong		47	/013/PAN/S850	3296
TITLE OF INVENTION POSTAGE SYSTEM	: A METHOD AND	APPARATUS FOR	THE CONTROL AN	D DIST	RIBUTION OF V	ALUE	BEARING ITEMS	IN A PC
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION F.	EE DUE	PREV. PAID ISSUE	FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	so		\$0		\$1510	06/17/2010
EXAMI	NER	ART UNIT	CLASS-SUBCI	LASS				
SALIARD, SI	IANNON S	3628	705-40100	00				
1. Change of corresponder CFR 1.363. Change of correspo Address form PTO/SB. Tee Address in initiation of the PTO/SB.47; Rev 03-02. Number is required. 3. ASSIGNEE NAME AN PLEASE NOTE: Unler recordation as set forth (A) NAME OF ASSIG	ondence address (or Cha 7122) attached. cation (or "Fee Address 2 or more recent) attack ND RESIDENCE DAT. sess an assignee is ident in 37 CFR 3.11. Comp	inge of Correspondence Indication form and Use of a Custome A TO BE PRINTED C	(1) the names or agents OR, (2) the name or registered at the control of the cont	of up to alternative of a single rney or a stent attor e will be int or typon on the pa filing an	e firm (having as a gent) and the name meys or agents. If a printed.	memb es of up no nam	er a 2	ocument has been filed for
4a. The following fee(s) a	re submitted:	-	4b. Payment of Fee	(s): (Plea closed. redit can is hereby	se first reapply an	is atta	iously paid issue fee ched.	
	SMALL ENTITY state	is. See 37 CFR 1.27.	☐ b. Applicant	is no long	ger claiming SMAL	LENI	TTY status. See 37 CI	
NOTE: The Issue Fee and interest as shown by the re	Publication Fee (if req ecords of the United Sta	uired) will not be acce tes Patent and Traden	pted from anyone oth ark Office.	ner than t	ne applicant; a regis	stered a	ttorney or agent; or th	e assignee or other party ir
Authorized Signature					Date			
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This collection of informa an application. Confidenti submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231	tion is required by 37 C iality is governed by 35 application form to the ons for reducing this bu reginia 22313-1450. DO 3-1450.	CFR 1.311. The inform U.S.C. 122 and 37 C. USPTO. Time will v rden, should be sent to O NOT SEND FEES C	ation is required to of FR 1.14. This collect: ary depending upon the Chief Information OR COMPLETED FO	btain or r ion is est the indiv on Office ORMS TO	etain a benefit by the imated to take 12 m idual case. Any co r, U.S. Patent and D THIS ADDRESS	ne publ ninutes mment Traden . SENI	ic which is to file (and to complete, includin s on the amount of ti- nark Office, U.S. Depo D TO: Commissioner	by the USPTO to process; g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450

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23363 75	90 03/17/2010	EXAMINER				
CHRISTIE, PARKER & HALE, LLP			SALIARD, SHANNON S			
PO BOX 7068		ART UNIT PAPER NUMBER				
PASADENA, CA	91109-7068	3628				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 797 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 797 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Application No. Applicant(s) 09/944 534 DONG ET AL. Notice of Allowability Examiner Art Unit SHANNON'S SALIARD 3628 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable. PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 12/16/09. The allowed claim(s) is/are 1-39. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) ☐ Some* c) ☐ None of the: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). * Certified copies not received: . . Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material

9. ☐ Other .

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EXAMINER'S AMENDMENT

 An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Daniel Kimbell on 8 March 2010.

The application has been amended as follows:

1. (Currently amended) A method for controlling the distribution of expiring free postage value in a PC postage system, which free postage value is provided free of charge by a third party to a user and will expire after a time period has elapsed if such free postage is not used up by the user, as opposed to postage value owned by the user which will not expire after a period of time has elapsed, the method comprising the steps of:

the third party, providing by a computer server, <u>expiring</u> free postage value in a first portion and a second portion and the computer server transferring the first portion of said expiring free postage value provided by the third party to a user's postage meter where it is available for use by the user;

storing, in the third-party computer server, the second portion of said expiring free postage value in a free postage account for the user at a third-party computer

server, which second portion of said expiring free postage value remains unavailable for use by the user unless and until part of or all of the second portion is transferred by the computer server to the user's postage meter during a time period set by the third party; [[and]]

transferring part of or all of the second portion, by the computer server, to the user's postage meter during the time period set by the third party:

determining, by the computer server, that [[after]] the time period set by the third party has elapsed[[,]]; and indicating to the computer server that the untransferred balance of said second portion of said expiring free postage value held for the user in the third party computer server is no longer useable.

- (Previously Presented) The method of claim 1 further comprising the step of
 establishing a portion of free postage value from said free postage account at the third
 party computer server to said user's postage meter when the balance of said postage
 meter is less than or equal to said threshold.
- (Previously Presented) The method of claim 2 further comprising the step of decrementing said free postage account in accordance with said block of the second portion of free transferred postage.
- (Previously Presented) The method of claim 1 further comprising the step of storing purchased postage value in an available postage account.

- (Previously Presented) The method of claim 4 further comprising the step of utilizing said expiring free postage value before said purchased postage value.
- 6. (Previously Presented) The method of claim 1 further comprising the step of displaying a sum of total expiring free postage value and purchased postage value in an available postage field.
- (Original) The method of claim 6 further comprising the step of decrementing said available postage field in accordance with a print transaction.
- (Previously Presented) The method of claim 7 further comprising the step of utilizing said expiring free postage value before said purchased postage value.
- (Previously presented) The method of claim 1 further comprising notifying user upon expiration of said free postage value.
- 10. (Previously Presented) The method of claim 1 further comprising the step of storing purchased postage value and balance of the postage meter in a descending register.

- 11. (Previously Presented) The method of claim 10 further comprising the step of decrementing the descending register in accordance with a print transaction.
- 12. (Previously Presented) The method of claim 1 further comprising the step of determining whether postage meter balance is equal to or greater than a predetermined threshold.
- 13. (Previously Presented) The method of claim 12 further comprising the step of transferring a block of the second portion of free postage value from said free postage account to said postage meter in accordance with said threshold determination.
- 14. (Previously Presented) The method of claim 1 further comprising the steps of determining validity of said expiring free postage value in response to receipt of a print postage request and wherein said free postage account is reset in accordance with said validity determination.
- 15. (Previously Presented) The method of claim 14 further comprising the step of determining whether balance of said free postage value is equal to or greater than a value of requested postage and transferring a block of free postage value from said free postage account to said postage meter in accordance with the amount determination.

16. (Original) The method of claim 15 further comprising the step of decrementing said free postage account in accordance with the transferred postage.

- 17. (Original) The method of claim 15 further comprising the step of generating print image in accordance with said amount determination.
- 18. (Previously Presented) The method of claim 14 further comprising the step of determining whether a balance of total purchased postage value and free postage value is equal to or greater than the value of requested postage.
- (Previously Presented) The method of claim 18 further comprising the step of generating error message in accordance with said amount determination.
- (Original) The method of claim 18 further comprising the step of generating print image in accordance with said amount determination.
- 21. (Previously Presented) A method for controlling the distribution of expiring free postage in a PC postage system, which free postage value is provided free of charge by a third party to a user and will expire after a time period has elapsed if such free postage is not used up by the user, as opposed to postage value owned by the user which will not expire after a period of time has elapsed, the method comprising the steps of:

storing untransferred expiring free postage value provided by the third party in a free postage account for the user at a third party computer server wherein the untransferred expiring free postage value remains unavailable and subject to expiration if not transferred by the computer server to the user within a time period set by the third party;

transferring by the computer server a portion of said expiring free postage value from said free postage account to a user's postage meter in accordance with a print postage request made before expiration of the time period; and

resetting, by the computer server, to zero an untransferred balance of said free postage account for the user in the third party computer server after the time period has elapsed.

- 22. (Previously Presented) The method of claim 21 further comprising the step of decrementing said free postage account in accordance with said transferred postage value.
- 23. (Previously Presented) The method of claim 21 further comprising the step of displaying a sum of the expiring free postage value and purchased postage value in an available postage field.

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24. (Previously Presented) The method of claim 23 further comprising the step of

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decrementing said available postage field in accordance with a print transaction.

25. (Previously Presented) The method of claim 24 further comprising the step of

utilizing said expiring free postage value before said purchased postage.

26. (Previously Presented) The method of claim 21 further comprising the steps

of determining the validity of said expiring free postage value in response to receipt of

said print postage request, wherein said free postage account is reset in accordance

with said validity determination.

27. (Previously Presented) The method of claim 26 further comprising the step of

determining whether the balance of the free postage account is equal to or greater than

a value of requested postage and wherein the step of transferring a portion of the

expiring free postage value to said postage meter comprises transferring a portion of

the expiring free postage value in accordance with the amount determination.

28. (Previously Presented) The method of claim 27 further comprising the step

of decrementing said free postage account in accordance with the transferred postage

value.

 (Original) The method of claim 27 further comprising the step of generating print image in accordance with said amount determination.

- 30. (Previously Presented) The method of claim 26 further comprising the step of determining whether balance of total purchased postage value and free postage value is equal to or greater than value of requested postage.
- 31. (Previously Presented) The method of claim 30 further comprising the step of generating an error message in accordance with said amount determination.
- 32. (Previously Presented) The method of claim 30 further comprising the step of generating a print image in accordance with said amount determination.
- 33. (Currently amended) A PC postage system that controls the distribution of expiring free postage value, which free postage value is provided free of charge by a third party to a user and will expire after a time period has elapsed if such free postage is not used up by the user, as opposed to postage value owned by the user which will not expire after a period of time has elapsed, the system comprising:

means for storing expiring free postage value, provided by a third party, to a user in a free postage account for the user at a third party computer server, the expiring free postage value in the free postage account at the third party server remaining unavailable for use by the user unless and until part of or all of such expiring free

postage value is transferred by the computer server to a user's postage meter, and such untransferred expiring free postage value being canceled by the computer server after a period of time set by the third party if the expiring free postage value has not been transferred to the user's postage meter;

means for transferring by the computer server a portion of said expiring free postage value from said free postage account to the postage meter of the user in accordance with a print postage request if the period of time has not elapsed; and

means for resetting by the computer server to zero an untransferred balance of said free postage account for the user in the third party server upon expiration of said free postage value after the time period has elapsed.

- 34. (Previously Presented) The PC postage system of claim 33 further comprising means for decrementing said free postage account in accordance with said transferred postage value.
- 35. (Previously Presented) The PC postage system of claim 33 further comprising means for displaying sum of the expiring free postage value and purchased postage value in an available postage field.
- (Original) PC postage system of claim 35 further comprising means for decrementing said available postage field in accordance with a print transaction.

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37. (Previously Presented) The PC postage system of claim 33 further comprising means for determining validity of said expiring free postage value in response to receipt of said print postage request, wherein said free postage account is reset in accordance with said validity determination.

38. (Currently amended) A method of managing free postage in a computer postage system, which free postage is provided free of charge by a third party to a user and will expire after a time period has elapsed if such free postage is not used up by the user, as opposed to postage value owned by the user which will not expire after a period of time has elapsed, the method comprising:

generating, by a computer server, an account to track expiring free postage value separate from purchased postage value, which account is maintained by a third party in the computer server;

receiving, at the computer server, a request to print postage by a user's computer;

the computer server checking for expiration of <u>the expiring</u> free postage value in the account; [[and]]

transferring a portion of unexpired free postage value to the user's computer; and after a time period set by the third party has elapsed, indicating to the computer server that the <u>an</u> untransferred balance of eaid second portion of said expiring free postage value held for the user in the third party computer server is no longer useable.

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39. (Previously Presented) The method of managing free postage in a computer postage system of claim 38, further comprising: applying available free postage value to the request to print postage if free postage value is available; and applying purchased postage to the request to print postage if free postage value is not available.

2. The following is an examiner's statement of reasons for allowance:

The closest prior art of record is an article entitled, "Neopost's Simply Postage, the first PC-based postage system to hit the retail market, is a winner", by Kathy Yakal (hereinafter referred to as 'Yakal'), U.S. Patent No. 4,864,506 to Storace, U.S. Patent No. 5.819.240 to Kara, U.S. Patent No. 6.619.544 to Bator et al.

Yakal discloses transferring free postage value, provided by a third party, to a user's postage meter (pg. 1, par. 2-4).

Storace discloses maintaining an account, corresponding to a user's postage meter, at a third party server, wherein a first portion of postage is transferred to a user's postage meter and a second portion remains available at the third party server (col 2, lines 18-25; col 4, line 64-col 5, line 5; col 8, lines 1-8).

Kara discloses replenishing a postage meter upon expiration of a postage amount (col 1, lines 46-51).

Bator et al discloses a third party providing a user with a free postage value at the user's postage meter (col 3, lines 14-18; col 9, lines 18-37)

As per claims 1, 21, 33, and 38, the closest prior art of record taken either individually or in combination with other prior art of record fails to teach or suggest storing, in the third party computer server, the second portion of said expiring free postage value in a free postage account for the user, which second portion of said expiring free postage value remains unavailable for use by the user unless and until part of or all of the second portion is transferred by the computer server to the user's postage meter during a time period set by the third party; transferring part of or all of the second portion, by the computer server, to the user's postage meter during the time period set by the third party; determining that the time period set by the third party has elapsed; and indicating to the computer server that the untransferred balance of said second portion of said expiring free postage value held for the user in the third party computer server is no longer useable. The specific allowable feature, which distinguishes the present invention over the prior art of record, is determining that the time period set by the third party has elapsed; and indicating to the computer server that the untransferred balance of said second portion of said expiring free postage value held for the user in the third party computer server is no longer useable.

Claims 2-20 are dependent upon claim 1 and thus have all the limitations of claim 1 and are allowable for that reason. Claims 22-32 are dependent upon claim 21 and thus have all the limitations of claim 21 and are allowable for that reason. Claims 34-37 are dependent upon claim 33 and thus have all the limitations of claim 33 and are allowable for that reason. Claim 39 is dependent upon claim 38 and thus has all the limitations of claim 38 and is allowable for that reason.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SHANNON S. SALIARD whose telephone number is (571)272-5587. The examiner can normally be reached on Monday - Friday, 8:00 am - 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Shannon S Saliard Primary Examiner Art Unit 3628

/Shannon S Saliard/ Primary Examiner, Art Unit 3628